

MSCI 促请业界将 ESG 因素纳入投资的评估决策中

北京/上海，2020年1月22日 - 全球领先的投资决策支持工具和服务提供商 MSCI（纽约证券交易所：MSCI）呼吁全球投资者更主动地将环境、社会和公司治理（ESG）因素纳入投资过程，以减轻相关风险，在快速变化的全球市场中寻找机遇，并助力经济以高效、均衡的方式达到可持续发展。

MSCI 发布《MSCI 可持续投资原则》，该原则旨在阐释一系列投资者能够且应该采取的具体可行步骤，以改善相关流程来推动 ESG 因素融入投资价值链。这个可持续投资原则框架包括全面纳入 ESG 因素所涉及的三大核心要点：

- 1. 投资策略：** 资产所有者应把 ESG 因素纳入建立、监测和调整整体投资策略及进行资产配置的过程中。
- 2. 投资组合管理：** 投资组合经理应把 ESG 因素纳入包括证券选择、投资组合构建、风险管理、绩效归因和客户报告在内的整个投资组合管理过程中。
- 3. 投资研究：** 负责评估企业并向投资组合经理发表投资建议的研究分析师应将 ESG 因素（包括企业的 ESG 评级）纳入企业的基本面分析中。

上述报告已上载于 [MSCI 网站](#)，MSCI 同时提供众多资源，旨在帮助投资者发掘新的投资机会、管理新兴风险，以及获得长期可持续的投资回报。

MSCI 主席兼首席执行官 Henry Fernandez 表示：“由于环境、社会和公司治理出现巨大转变，如气候变化带来的影响、全球向低碳经济转型等，令世界正在迅速变化。这将对金融资产定价和投资风险及回报造成显著影响，并导致投资者于未来数十年大规模调整资本配置。”

Fernandez 补充道：“目前，行业极需要制定一套指引，以帮助世界各地所有投资机构在追求长期可持续投资回报的过程中，管理与 ESG 因素相关的新机遇和固有风险。MSCI 全面致力帮助投资者作出更好的投资决定以建立更美好的世界，而我们的可持续投资原则有助实现这项使命。”

MSCI ESG 主管 Remy Briand 表示：“可持续投资是长期投资过程中至关重要的一环，而我们所构建的框架旨在协助投资者了解如何有效纳入 ESG 标准，令其在建立稳健的投资组合方面发挥重要作用。凭借我们的研究、投资工具及为提升透明度而作出的努力，MSCI 力求帮助投资者把 ESG 因素纳入投资组合，实现这个重要目标。”

MSCI 在提供数据、研究和其他工具方面一直处于领先地位，致力为业界实现在整个投资过程中贯彻融入 ESG 标准，并推动相关方案发展以推动和加快可持续投资。借助我们的研究、评级、指数、模型及投资组合分析，MSCI 帮助全球大型和成熟投资者将 ESG 因素纳入投资过程中。

此外，MSCI 为提升投资价值链中 ESG 信息的透明度，已公布全球被投资者广泛持有的上市公司的 ESG 评级及决定相关评级和编制 ESG 指数的方法论，并将于 2020 年 4 月 30 日前公布旗下所有股票指数和被投资者广泛持有的共同基金的 ESG 特征。

- 完 -

关于 MSCI

MSCI 是全球领先的投资决策支持工具和服务提供商。我们在研究、资料和技术方面拥有超过 45 年的专业知识，致力帮助客户理解及分析风险和回报的关键驱动因素，建立更有效的投资组合，从而实现更好的投资决策。我们开发了行业领先的研究增强型解决方案，让客户洞悉并提高投资过程的透明度。如欲了解更多信息，请浏览 www.msci.com。

媒体查询

MSCI

Rachel LAI 黎颖贤

rachel.lai@msci.com

+852 2844 9315

哲基杰讯

北京

Sissi FENG 冯程程

sissi.feng@citigatedewerogerson.com

+86 10 6567 5061

上海

Maggie XU 许丛一

maggie.xu@citigatedewerogerson.com

+86 21 6391 2177

Tian ZHANG 张天粟

tian.zhang@citigatedewerogerson.com

+86 10 6567 7250

Christine ZHANG 张雨嫣

christine.zhang@citigatedewerogerson.com

+86 21 3311 0263

MSCI 全球客户服务部

欧洲、中东及非洲地区

+ 44 20 7618.2222

美洲地区

+1 888 588 4567

亚太地区

+ 852 2844 9333

This document and all of the information contained in it, including without limitation all text, data, graphs, charts (collectively, the “Information”) is the property of MSCI Inc. or its subsidiaries (collectively, “MSCI”), or MSCI’ s licensors, direct or indirect suppliers or any third party involved in making or compiling any Information (collectively, with MSCI, the “Information Providers”) and is provided for informational purposes only. The Information may not be modified, reverse-engineered, reproduced or disseminated in whole or in part without prior written permission from MSCI.

The Information may not be used to create derivative works or to verify or correct other data or information. For example (but without limitation), the Information may not be used to create indexes, databases, risk models, analytics, software, or in connection with the issuing, offering, sponsoring, managing or marketing of any securities, portfolios, financial products or other investment vehicles utilizing or based on, linked to, tracking or otherwise derived from the Information or any other MSCI data, information, products or services.

The user of the Information assumes the entire risk of any use it may make or permit to be made of the Information. NONE OF THE INFORMATION PROVIDERS MAKES ANY EXPRESS OR IMPLIED WARRANTIES OR REPRESENTATIONS WITH RESPECT TO THE INFORMATION (OR THE RESULTS TO BE OBTAINED BY THE USE THEREOF), AND TO THE MAXIMUM EXTENT PERMITTED BY APPLICABLE LAW, EACH INFORMATION PROVIDER EXPRESSLY DISCLAIMS ALL IMPLIED WARRANTIES (INCLUDING, WITHOUT LIMITATION, ANY IMPLIED WARRANTIES OF ORIGINALITY, ACCURACY, TIMELINESS, NON-INFRINGEMENT, COMPLETENESS, MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE) WITH RESPECT TO ANY OF THE INFORMATION.

Without limiting any of the foregoing and to the maximum extent permitted by applicable law, in no event shall any Information Provider have any liability regarding any of the Information for any direct, indirect, special, punitive, consequential (including lost profits) or any other damages even if notified of the possibility of such damages. The foregoing shall not exclude or limit any liability that may not by applicable law be excluded or limited, including without limitation (as applicable), any liability for death or personal injury to the extent that such injury results from the negligence or willful default of itself, its servants, agents or sub-contractors.

Information containing any historical information, data or analysis should not be taken as an indication or guarantee of any future performance, analysis, forecast or prediction. Past performance does not guarantee future results.

The Information should not be relied on and is not a substitute for the skill, judgment and experience of the user, its management, employees, advisors and/or clients when making investment and other business decisions. All Information is impersonal and not tailored to the needs of any person, entity or group of persons.

None of the Information constitutes an offer to sell (or a solicitation of an offer to buy), any security, financial product or other investment vehicle or any trading strategy.

It is not possible to invest directly in an index. Exposure to an asset class or trading strategy or other category represented by an index is only available through third party investable instruments (if any) based on that index. MSCI does not issue, sponsor, endorse, market, offer, review or otherwise express any opinion regarding any fund, ETF, derivative or other security, investment, financial product or trading strategy that is based on, linked to or seeks to provide an investment return related to the performance of any MSCI index (collectively, “Index Linked Investments”). MSCI makes no assurance that any Index Linked Investments will accurately track index performance or provide positive investment returns. MSCI Inc. is not an investment adviser or fiduciary and MSCI makes no representation regarding the advisability of investing in any Index Linked Investments.

Index returns do not represent the results of actual trading of investible assets/securities. MSCI maintains and calculates indexes, but does not manage actual assets. Index returns do not reflect payment of any sales charges or fees an investor may pay to purchase the securities underlying the index or Index Linked Investments. The imposition of these fees and charges would cause the performance of an Index Linked Investment to be different than the MSCI index performance.

The Information may contain back tested data. Back-tested performance is not actual performance, but is hypothetical. There are frequently material differences between back tested performance results and actual results subsequently achieved by any investment strategy.

Constituents of MSCI equity indexes are listed companies, which are included in or excluded from the indexes according to the application of the relevant index methodologies. Accordingly, constituents in MSCI equity indexes may include MSCI Inc., clients of MSCI or suppliers to MSCI. Inclusion of a security within an MSCI index is not a recommendation by MSCI to buy, sell, or hold such security, nor is it considered to be investment advice.

Data and information produced by various affiliates of MSCI Inc., including MSCI ESG Research LLC and Barra LLC, may be used in calculating certain MSCI indexes. More information can be found in the relevant index methodologies on www.msci.com.

MSCI receives compensation in connection with licensing its indexes to third parties. MSCI Inc.’ s revenue includes fees based on assets in Index Linked Investments. Information can be found in MSCI Inc.’ s company filings on the Investor Relations section of www.msci.com.

MSCI ESG Research LLC is a Registered Investment Adviser under the Investment Advisers Act of 1940 and a subsidiary of MSCI Inc. Except with respect to any applicable products or services from MSCI ESG Research, neither MSCI nor any of its products or services recommends, endorses, approves or otherwise expresses any opinion regarding any issuer, securities, financial products or instruments or trading strategies and MSCI’ s products or services are not intended to constitute investment advice or a recommendation to make (or refrain from making) any kind of investment decision and may not be relied on as such. Issuers mentioned or included in any MSCI ESG Research materials may include MSCI Inc., clients of MSCI or suppliers to MSCI, and may also purchase research or other products or services from MSCI ESG Research. MSCI ESG Research materials, including materials utilized in any MSCI ESG Indexes or other products, have not been submitted to, nor received approval from, the United States Securities and Exchange Commission or any other regulatory body.

Any use of or access to products, services or information of MSCI requires a license from MSCI. MSCI, Barra, RiskMetrics, IPD and other MSCI brands and product names are the trademarks, service marks, or registered trademarks of MSCI or its subsidiaries in the United States and other jurisdictions. The Global Industry Classification Standard (GICS) was developed by and is the exclusive property of MSCI and Standard & Poor’ s. “Global Industry Classification Standard (GICS)” is a service mark of MSCI and Standard & Poor’ s.

MIFID2/MIFIR notice: MSCI ESG Research LLC does not distribute or act as an intermediary for financial instruments or structured deposits, nor does it deal on its own account, provide execution services for others or manage client accounts. No MSCI ESG Research product or service supports, promotes or is intended to support or promote any such activity. MSCI ESG Research is an independent provider of ESG data, reports and ratings based on published methodologies and available to clients on a subscription basis. We do not provide custom or one-off ratings or recommendations of securities or other financial instruments upon request.



Privacy notice: For information about how MSCI ESG Research LLC collects and uses personal data concerning officers and directors, please refer to our Privacy Notice at <https://www.msci.com/privacy-pledge>.